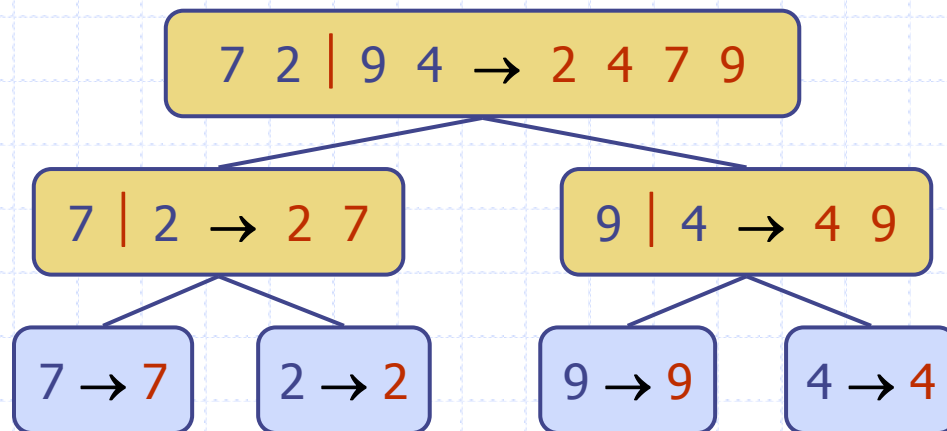


Merge Sort



Intorduction to Merge sort

- ◆ On merge sort we apply Divide and Conquer techniques in following steps
- ◆ **Divide-and conquer** is a general algorithm design paradigm:
 - **Divide** : Given a sequence of n elements ($a[1], a[2], \dots, a[n]$)
 - Split into two sets $a[1], \dots, a[n/2]$ and $a[n/2+1], \dots, a[n]$
 - **Conquer**: Each set is individually sorted
 - **Conquer**: Resulting sorted sequence are merged to produce a single sorted sequence of n elements.
- ◆ **Merge-sort** is a sorting algorithm based on the divide-and-conquer paradigm
- ◆ Like heap-sort
 - It uses a comparator
 - It has $O(n \log n)$ running time
- ◆ Unlike heap-sort
 - It does not use an auxiliary priority queue
 - It accesses data in a sequential manner (suitable to sort data on a disk)

Merge-Sort

◆ If the time for merging operation is proportional to n , then the computing time for merge sort is described by the recurrence relation

$$\begin{aligned} \text{◆ } T(n) &= a & n=1 \\ & 2T(n/2)+cn & n>1 \end{aligned}$$

When n is a power of 2, $n=2^k$, we can solve this equation by recursive method (successive substitution or iterative method)

$$\begin{aligned} T(n) &= 2(2T(n/4)+cn/2)+cn \\ &= 4T(n/4)+2cn \\ &= 4(2T(n/8)+cn/4)+2cn \end{aligned}$$

⋮
⋮
⋮

$$\begin{aligned} &= 2^k T(1) + kcn \\ &= an + cn \log n \end{aligned}$$

$$T(n) = O(n \log n)$$

Algorithm *mergeSort(low,high)*

{

if(low < high) then

mid = (low + high) / 2

mergeSort(low, mid)

mergeSort(mid + 1, high);

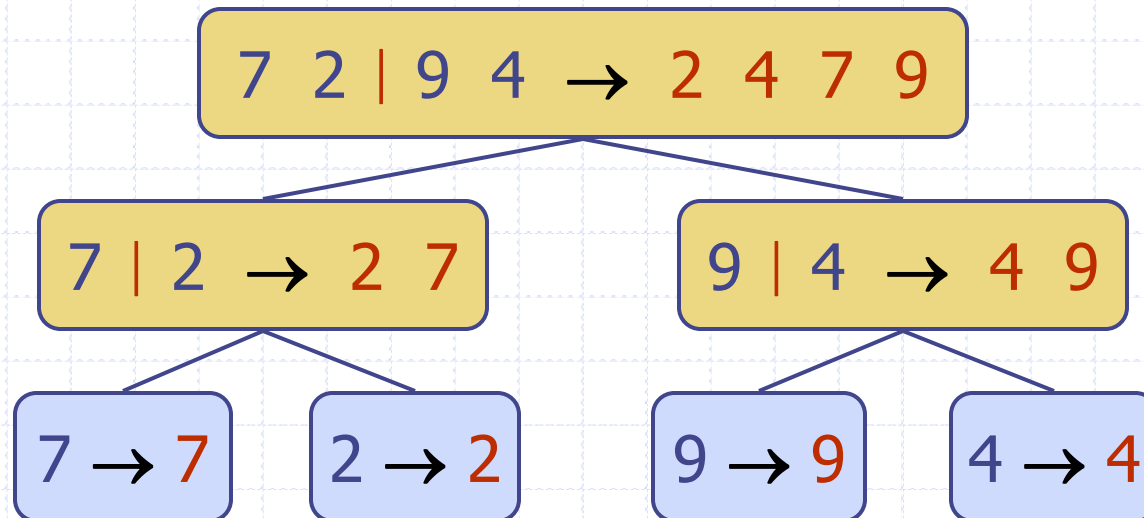
Merge(low, mid, high)

Merging Two Sorted Sequences

```
Algorithm merge(low,mid,high)
{
  h:=low:i:=high:j:=mid+1
  while((h<=mid) and(j<=high))do
  {
    if(a[h]<=a[j])
      {b[i]:=a[h];h:=h+1;      }
    else
      {b[i]:=a[j];j:=j+1;    }
    i:=i+1;
  }
  if(h>mid)
    for k:=j to high do
      {b[i]:=a[k];i:=i+1;
      }
  else
    for k:=h to mid do
      {b[i]:=a[k];i:=i+1;    }
  for k:=low to high do a[k]:=b[k];
}
```

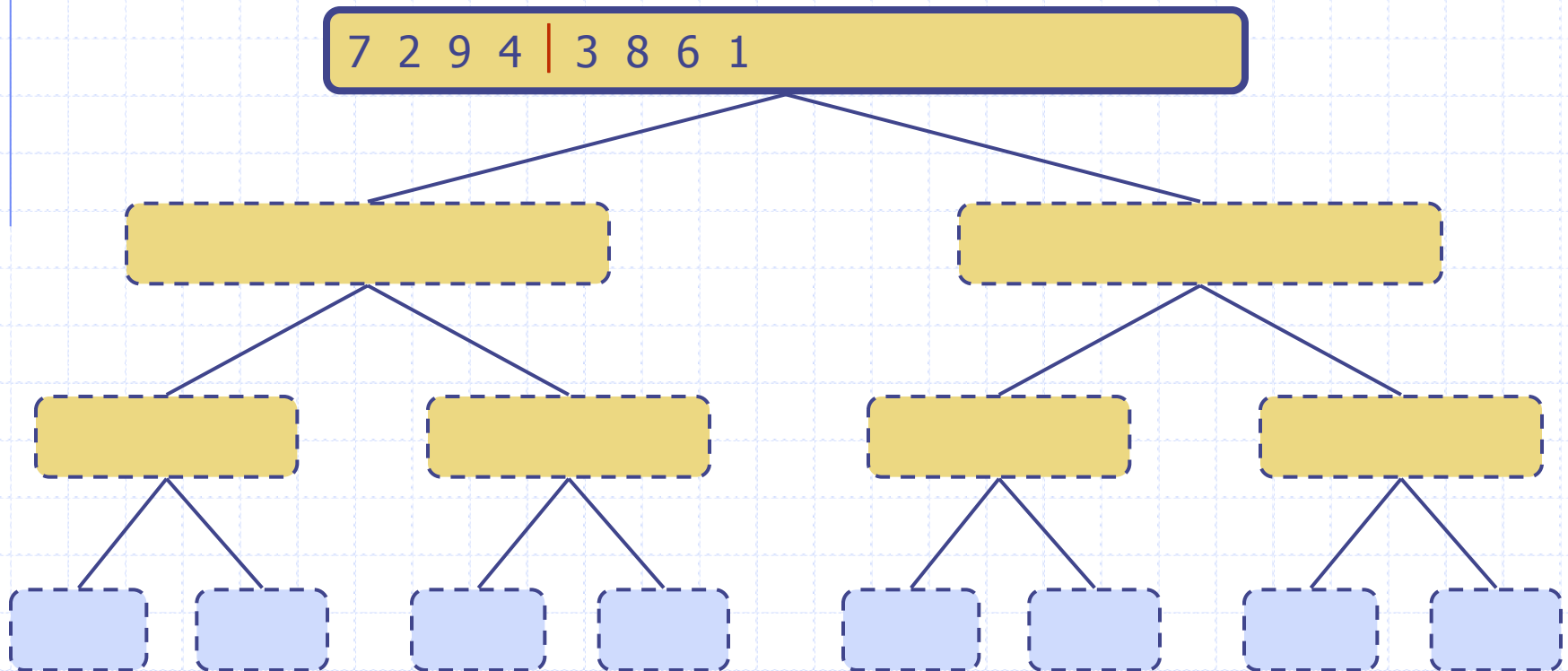
Merge-Sort Tree

- ◆ An execution of merge-sort is depicted by a binary tree
 - each node represents a recursive call of merge-sort and stores
 - ◆ unsorted sequence before the execution and its partition
 - ◆ sorted sequence at the end of the execution
 - the root is the initial call
 - the leaves are calls on subsequences of size 0 or 1



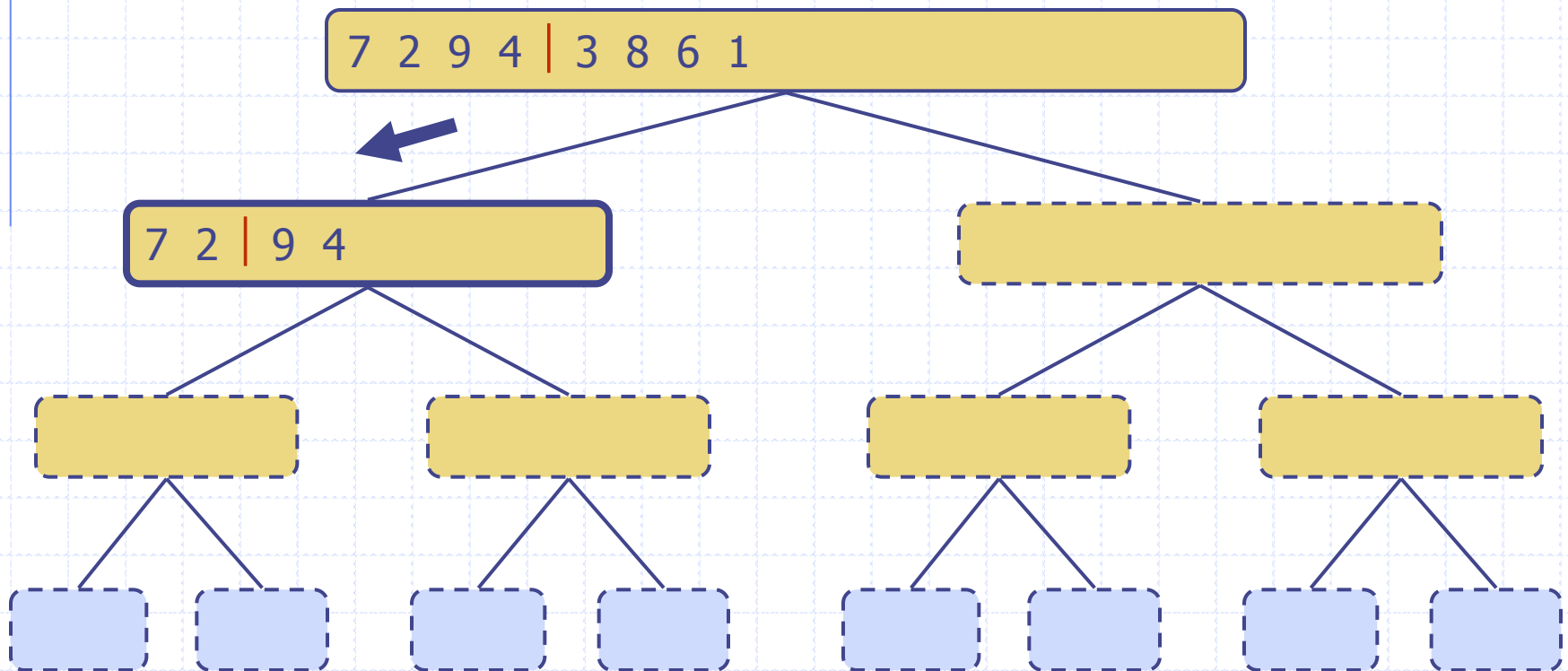
Execution Example

◆ Partition



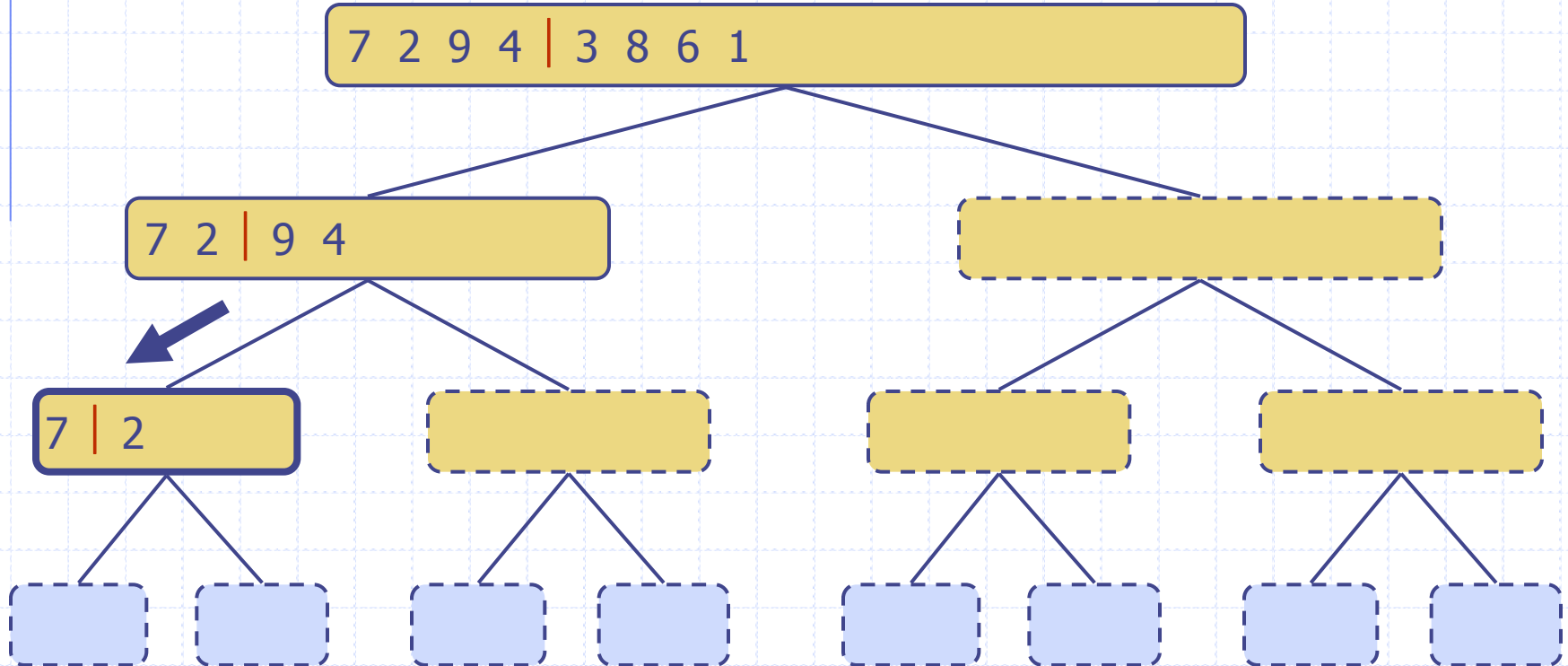
Execution Example (cont.)

◆ Recursive call, partition



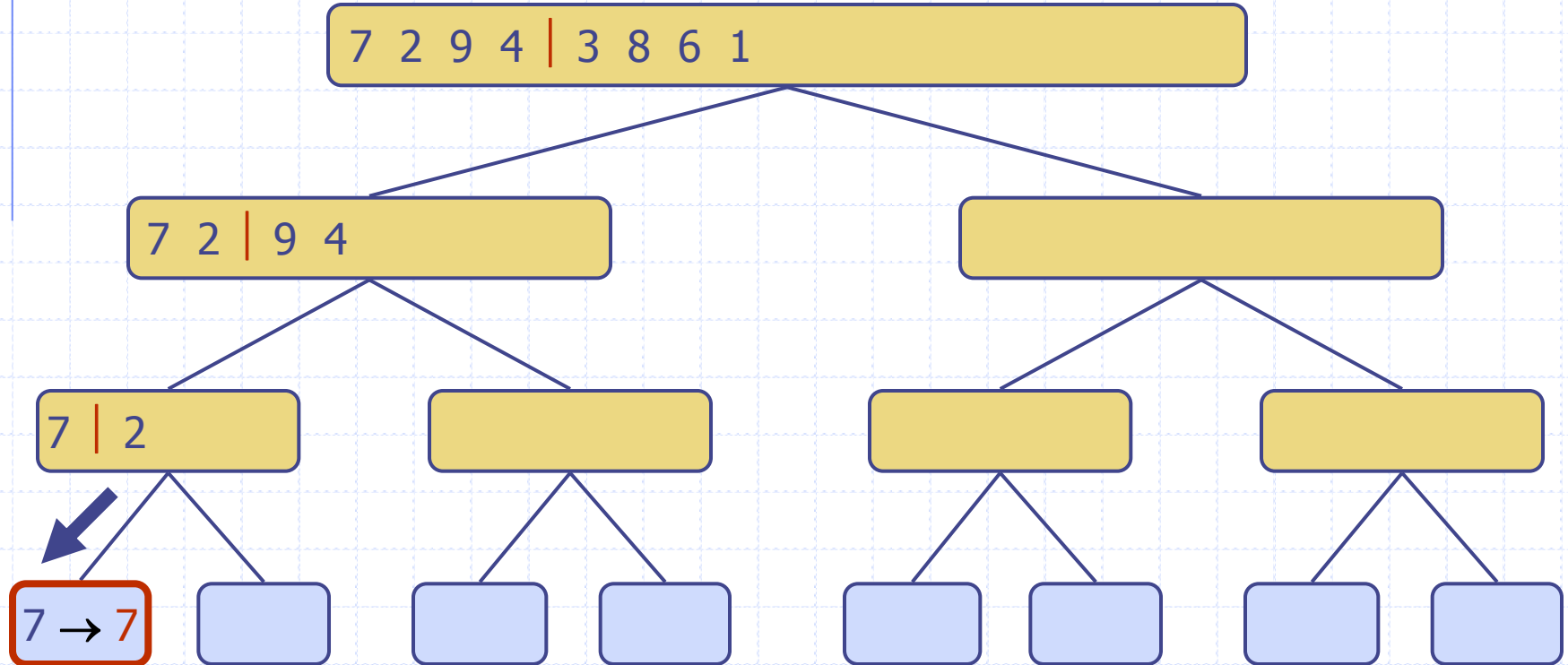
Execution Example (cont.)

◆ Recursive call, partition



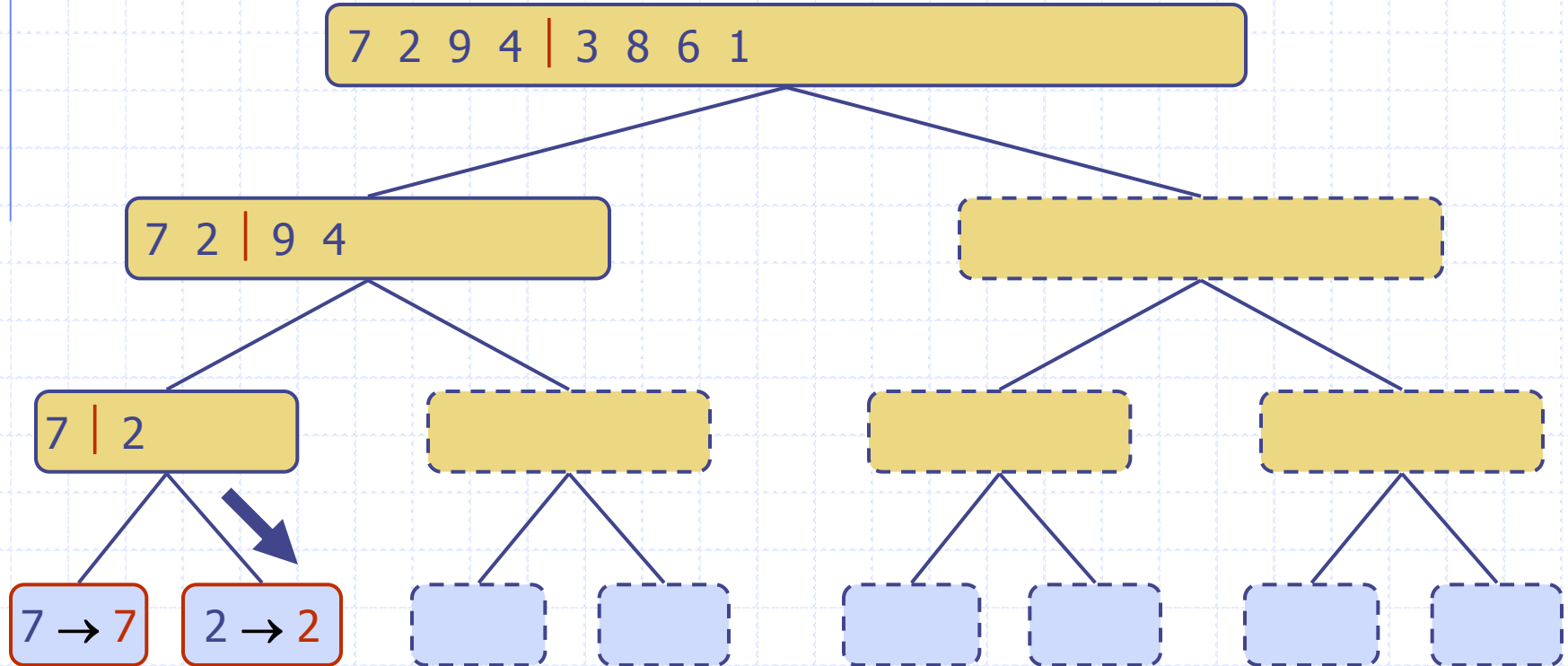
Execution Example (cont.)

◆ Recursive call, base case



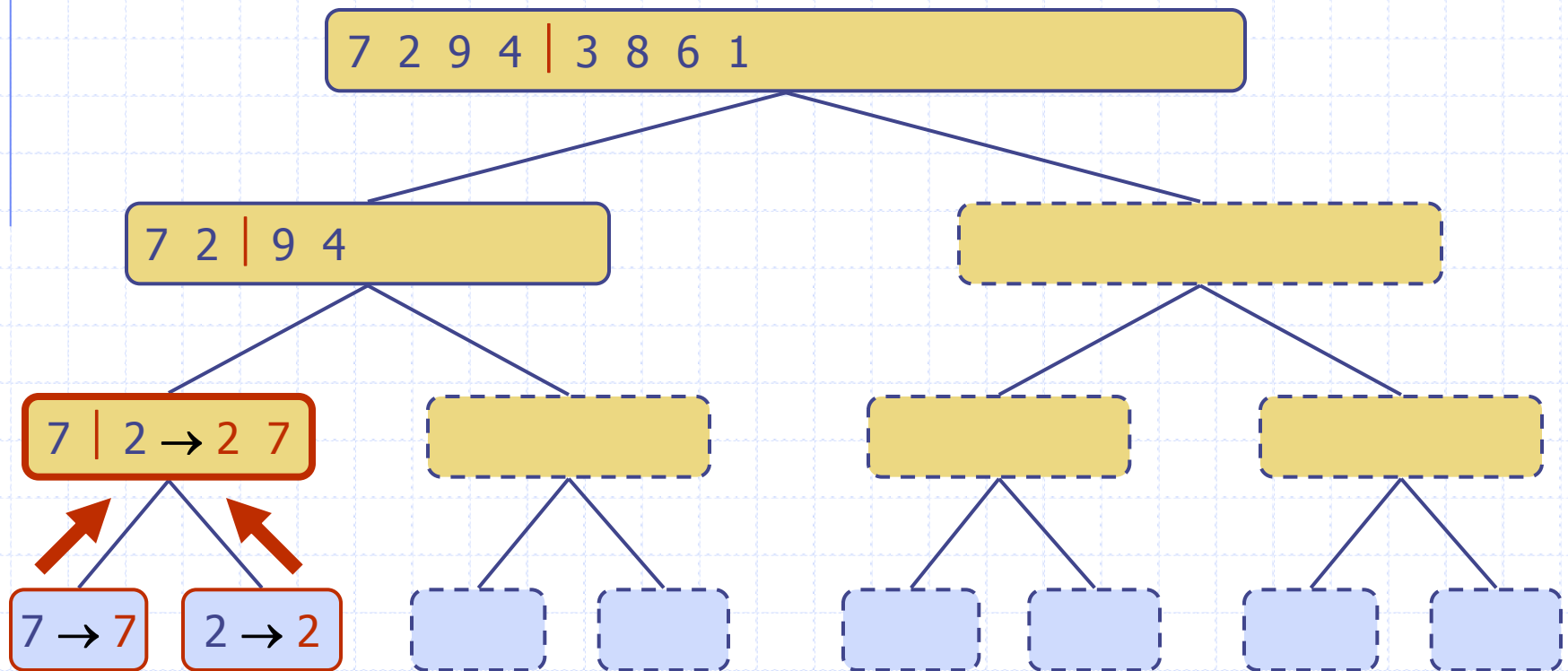
Execution Example (cont.)

◆ Recursive call, base case



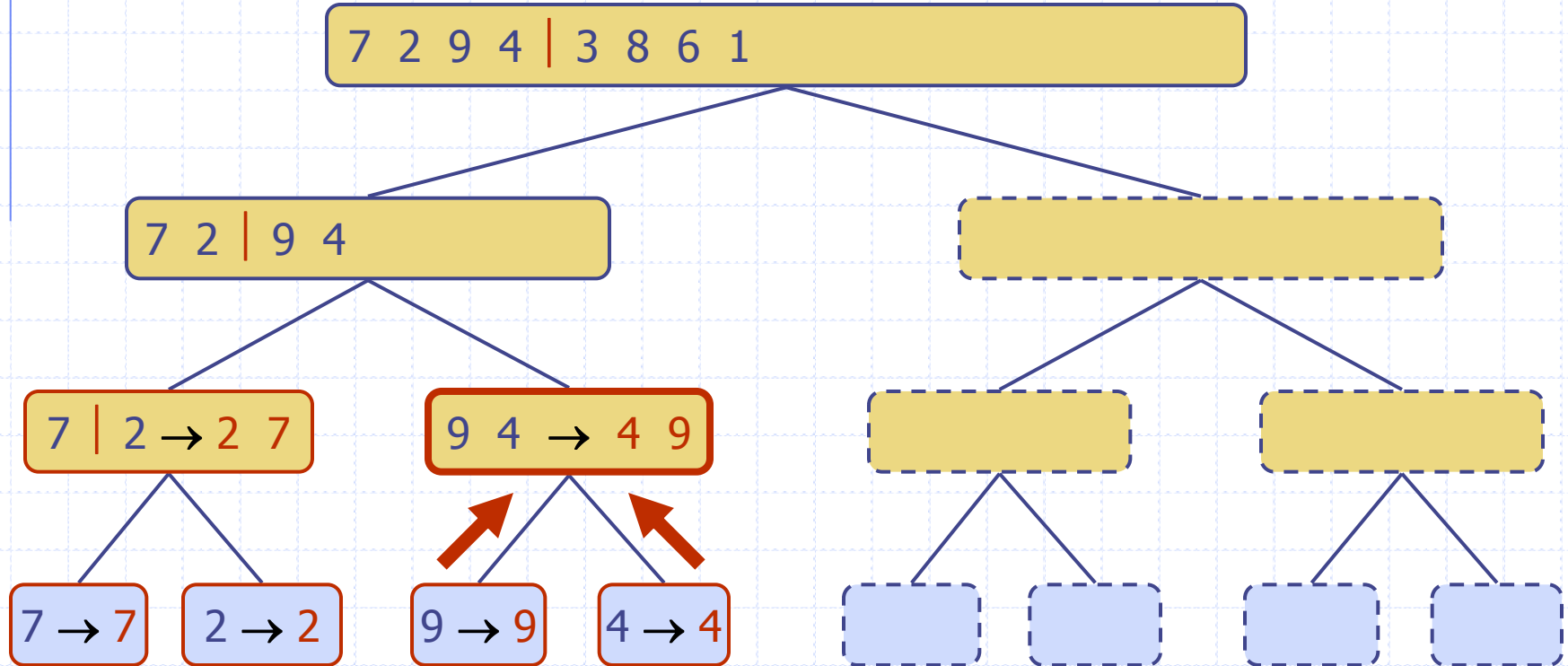
Execution Example (cont.)

◆ Merge



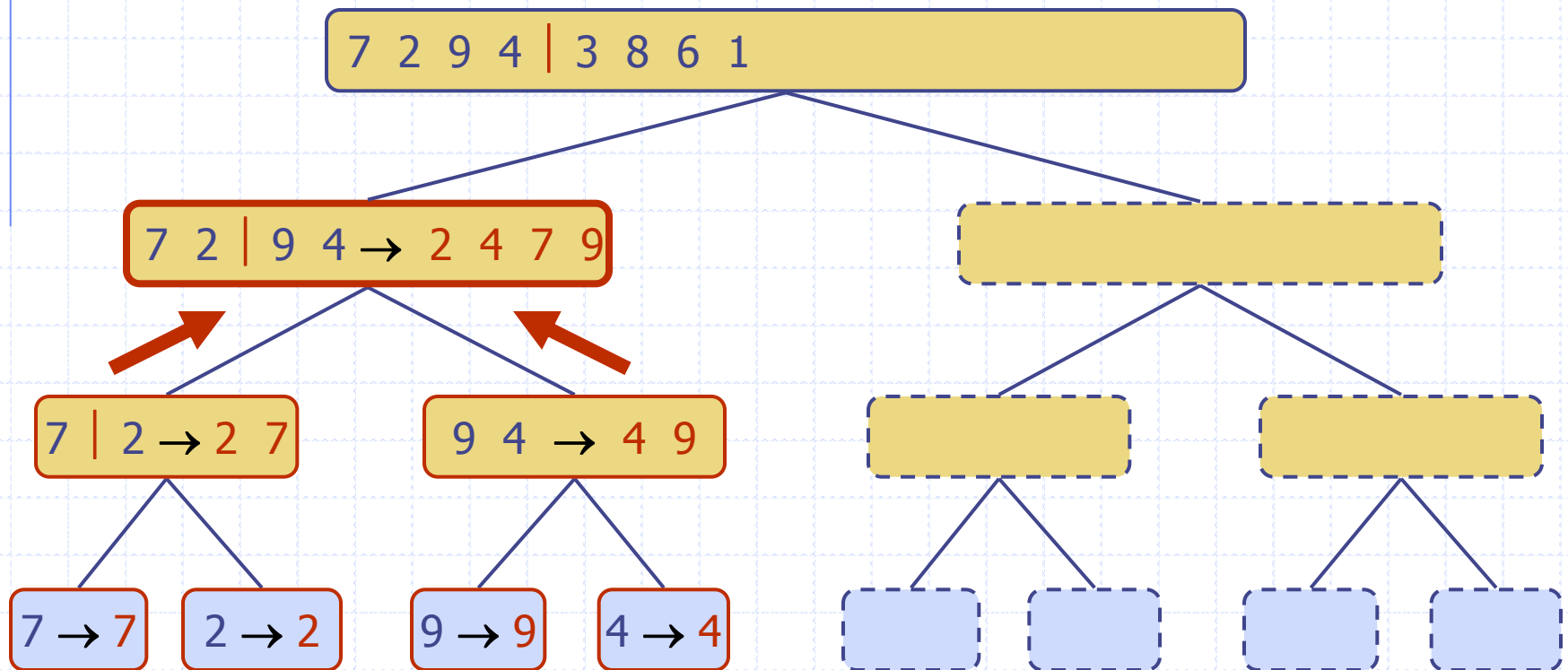
Execution Example (cont.)

◆ Recursive call, ..., base case, merge



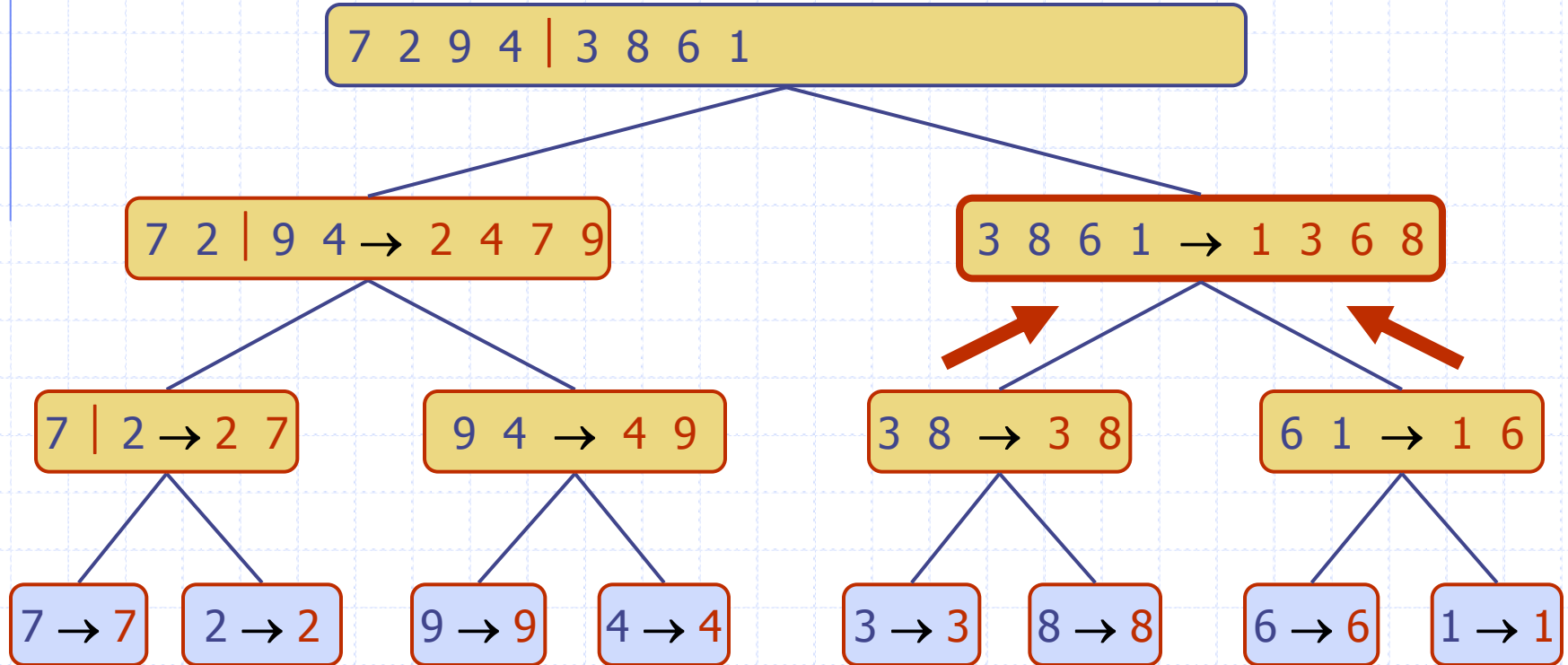
Execution Example (cont.)

◆ Merge



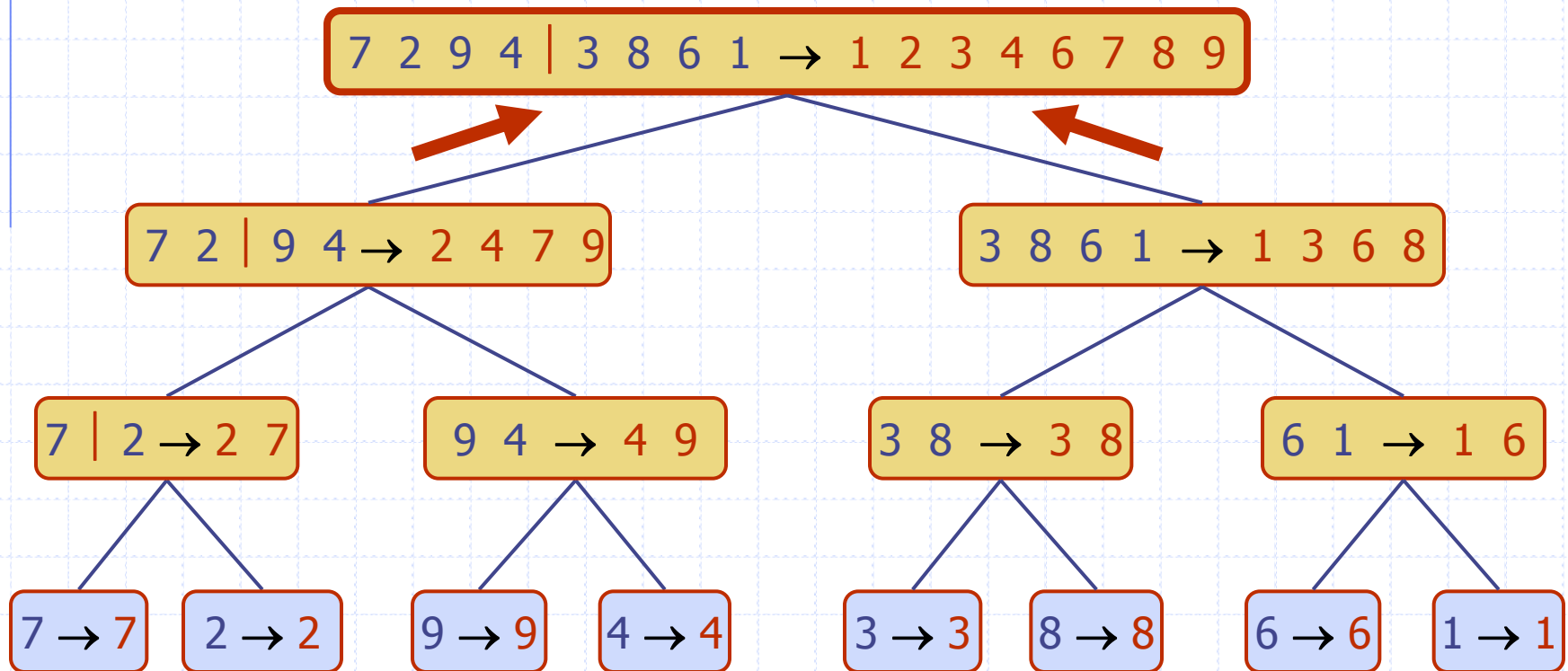
Execution Example (cont.)

◆ Recursive call, ..., merge, merge



Execution Example (cont.)

◆ Merge



Summary of Sorting Algorithms

Algorithm	Time	Notes
selection-sort	$O(n^2)$	<ul style="list-style-type: none">◆ slow◆ in-place◆ for small data sets (< 1K)
insertion-sort	$O(n^2)$	<ul style="list-style-type: none">◆ slow◆ in-place◆ for small data sets (< 1K)
heap-sort	$O(n \log n)$	<ul style="list-style-type: none">◆ fast◆ in-place◆ for large data sets (1K — 1M)
merge-sort	$O(n \log n)$	<ul style="list-style-type: none">◆ fast◆ sequential data access◆ for huge data sets (> 1M)

Application of Merge Sort

- ◆ Tape drive
- ◆ Disk drive
- ◆ Online sorting

Scope of Merge Sort

- ◆ Parallel processing
- ◆ Optimizing merge sort

Assignment

- ◆ Q.1) Prove that efficiency of merge sort is $O(n \log n)$.
- ◆ Q.2) Explain merge sort with example.
- ◆ Q.3) Compare merge sort with Quick sort & Heap sort.